

THE SIXTH FRAMEWORK PROGRAMME

# EURATOM GUIDE FOR PROPOSERS

**Euratom Research and Training Programme  
on Nuclear Energy (2002-2006)**

EURATOM Call Open

Specific Support Actions  
(Including Special Training Courses)

Following instruments are available to fund activities in this call for proposals. These instruments are described in the Work programme of Euratom Research and Training Programme on Nuclear Energy and on EUROPA at: <http://www.cordis.lu/fp6/instruments/>

- Training Fellowships and Grants for Cooperating with Third Countries
- Transnational Access to Large Infrastructures
- Specific Support Actions (SSA) (including Special Training Courses)

This version of the Guide for Proposers concerns:

**Specific Support Actions (including Special Training Courses)  
Euratom FP6 Research and Training Programme  
Call Open**

*The structure required for a proposal, and the rules which will govern its evaluation, vary according to the type of instrument used and also may vary from call to call. It is entirely your responsibility to ensure that you are using the correct version of the Guide for Proposers for the type of action and the call for which you are submitting a proposal.*

## Key recommendations for submitting a proposal to the Euratom Programme

- **Priorities and objectives:** Check that your proposed work does indeed address research objectives open in the current Call and as described in the current Work Programme of Euratom research and training programme on nuclear energy (Euratom Programme). Multidisciplinary proposals addressing several topics of this call may be submitted. Any proposal submitted in response to this call should however have a centre of gravity on one topic open in the current Call. If the major part of your proposal concerns a topic of another Call it should be submitted to that Call.

PROPOSALS CANNOT BE ACCEPTED IF THEY ARE NOT FOCUSED ON THE PRIORITIES OF THE FRAMEWORK PROGRAMME; THE MULTIDISCIPLINARY NATURE OF A PROPOSAL DOES NOT REMOVE THIS REQUIREMENT.

- **Completeness:** Proposals must comprise a Part A, containing the administrative information (including partner and project cost details) on standard forms; and a Part B, containing the scientific and technical description of your proposal, (as described in Annex 2). Check that your proposal contains both parts.
- **Use of correct forms and instrument:** The proposal forms for Part A and the structure of Part B vary according to the different instruments.

Check that you have chosen the correct contractual instrument for the type of work you are proposing (<http://www.cordis.lu/fp6/find-doc.htm>).

Check in the Work Programme that the call is actually open for instruments of this type in the particular research topic in which you propose to work.

Check on the call page that you are using the version of the Guide for Proposers specific for this instrument and call.

- **Eligible partnership:** Confirm that you and your partners are indeed eligible for participation in the thematic areas. The minimum requirements for the makeup of your consortium can be found in the Work Programme and the call text, and organisations must have a registered legal existence.
- **Evaluation criteria:** All proposals are evaluated according to fixed sets of criteria, depending on the type of instrument, which are defined in Annex IV of the Work Programme. Be sure that your proposal clearly addresses each of the evaluation criteria used for this instrument. Be aware that there are threshold scores on the criteria which must be achieved or else the proposal fails.
- **Ethical, safety and regulatory issues :** Clearly indicate any potential ethical, safety or regulatory aspects of the proposed research and the way they will be dealt with in your proposed project. An ethical check will take place during the evaluation and an ethical review will take place for proposals dealing with specific sensitive issues. Proposals will fail if they do not respect the ethical rules for FP6.
- **Gender issues:** Clearly indicate the way in which these issues are taken into account (see Proposal Part B and Annex 4)
- **Presentation:** Proposals should be precise and concise. They should present the objectives and the expected results, how the participants intend to disseminate or exploit these results and how the project contributes to integrating and structuring the European research area. Proposals should assemble the necessary critical mass of activities, expertise and resources to achieve the proposed objectives.
- **Competition:** There will be strong competition. Therefore edit your proposal tightly, strengthen or eliminate weak points. Arrange for your draft to be evaluated by experienced colleagues, using the evaluation criteria for the type of action you are proposing, before sending it in. Then use their advice to improve it before submission.

- **Deadlines:** Call deadlines are absolutely firm and are strictly enforced. Proposals must be received by the Commission before or on the deadline as it is specified in the Call for proposals. Proposers are reminded that it is their own responsibility to ensure the safe delivery of their proposal. Deadlines for this Call Open are

06.05.2003 (passed),  
14.10.2003 (passed),  
14.04.2004,  
12.10.2004,  
12.04.2005,  
11.10.2005 and  
11.04.2006,  
at 17.00 (Brussels local time).

Proposals received after a deadline will be grouped and evaluated after the next deadline.

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## Euratom Research and Training Programme on Nuclear Energy (2002-2006)

### Specific Information for Specific Support Actions (Including Special Training Courses) in the Euratom Call Open published on 17 December 2002

#### I Introduction

##### *I.1 Structure and content of the Guide for Proposers*

This Guide for Proposers contains the basic information needed to guide you in preparing a proposal.

It contains the proposal forms needed to prepare Part A of a proposal, and instructions on how to write Part B. Both parts are required to make a complete proposal. Incomplete proposals will be ineligible and therefore will not be evaluated.

It also describes other services which may be available for the proposers, like notification of an intention to submit, and the different support services. It contains references to other documents, reports, forms and software tools which are of assistance in the preparation of proposals.

Other documents which constitute, together with this guide, the **Information Package for Specific Support Actions** and which you will need to consult during the preparation of your proposal are:

**The brochure “The EURATOM 6<sup>th</sup> Framework Programme in Brief”.** This brochure gives a brief overview of FP6 in so far as it concerns the Euratom programme. It serves as a guide for navigating through the activities, funding schemes, thematic areas, types of instrument etc., allowing potential participants to better find their way through to the activity most suiting their ideas.

**The current Euratom Work Programme.** The Work Programme provides a detailed description of the content of the research topics/objectives which are open for proposals, and indicates which types of instruments may be used for each. It also gives an indicative timetable for the Priority implementation (“roadmap”) and details on the evaluation criteria.

**The Call for proposals** as published in the Official Journal of the European Communities. This will tell you which Research topics/objectives are open for proposals for Specific Support Actions, and what are the address and deadline for proposal submission.

Additional documents which you should review are:

**The Guidelines of Proposal Evaluation and Selection Procedures (the “Evaluation Manual”).** This document describes the general principles and the procedures which will be used in the evaluation of proposals.

**The Euratom Guidance Notes for Evaluators.** This describes in detail how proposals will be evaluated in the Euratom programme. You may use the Guidelines for Evaluators as a checklist to ensure the quality of your proposal.

**The model contract for Specific Support Actions, and its annexes.** This specifies the contractual terms and conditions to which your consortium will be expected to agree if your proposal is selected for funding.

All these documents, as well as additional information if any, may be found at:  
<http://www.cordis.lu/fp6-euratom/calls.htm>

**The background document on the Specific Support Actions.** Full details of what a Specific Support Actions comprises and how such a project should be implemented are given at <http://www.cordis.lu/fp6/instruments.htm>

**This Guide for Proposers does not supersede the rules and conditions laid out, in particular, in Council Decisions relevant to the Euratom Sixth Framework Programme, the Call for proposals or the Guidelines of Proposal Evaluation and Selection Procedures.**

These documents are available at: <http://www.cordis.lu/fp6/find-doc.htm>

## II. Proposal preparation

### *II.1 One stage submission as applied in the Euratom Call Open*

Proposals for Specific Support Actions in the Euratom programme are submitted in a single stage – by submitting a complete proposal application to the address set out in the Call for proposals as published in the Official Journal of the European Communities. Proposals must be received by the Commission by the closing date of the call.

### *II.2 Participation*

Proposals for Specific Support Actions (including Special Training Courses) must be presented by a legal entity established in a Member State of the EU or Euratom Associated State, or a consortium with at least one legal entity established in these States.

The EU Member States are: Austria, Belgium, Denmark, Finland, France, Germany, Greece, Ireland, Italy, Luxembourg, Netherlands, Spain, Sweden, Portugal, and United Kingdom.

International Organisations of European interest<sup>1</sup>, and the European Commission's Joint Research Centre (JRC) are considered on the same footing as legal entities established in an Member State.

Candidate countries which are associated to the Euratom 6<sup>th</sup> Framework programme are: Bulgaria, Czech Republic, Hungary, Latvia, Romania, Slovakia and Slovenia.

Switzerland is already an Associated State (AS) for Nuclear Fusion research and is also expected to become associated to the Euratom FP6 as a whole. Potential participants should confirm the exact situation of all these countries at the FP6 International Cooperation website (see Section VII).

Organisations from other third country (with a few exceptions<sup>2</sup>) may additionally take part. Organisations from third certain countries may receive a Community financial contribution, as defined in the Rules for Participation in Euratom FP6 (see address in Section VII).

Co-operation with international organisations with intergovernmental agreements is welcomed.

### *II.3 Structure of a proposal*

A proposal has two parts. Full details about preparing these parts are annexed to this Guide.

- **Part A** is a set of forms which collect necessary administrative information about the proposal and the proposers e.g. proposal name, proposers' names and addresses, brief description of the work, total funding requested by type of activity, etc. This information will be encoded in a structured database for further computer processing to produce statistics, evaluation reports etc., and also to support the experts and Commission during the evaluation process.
- **Part B** comprises a structure or list of headings which should be followed to describe the scientific and technical content of a proposal (see Annex 2), rather than a pre-prepared form. It describes among other things the nature of the proposed work, the participants and their roles in the proposed project. It

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<sup>1</sup> International organisations, the majority of whose members are European Community Member States or associated States and whose principal objective is to promote European scientific and technological cooperation.

<sup>2</sup> Afghanistan, Cuba, India, Iraq, Iran, Israel, Libya, Myanmar, Pakistan and North Korea. This situation with respect to all excluded countries is subject to review, in line with the Community's external policies. Please check on Cordis for any update.

describes the reasons for carrying out the work, and the benefits which would come from it. The recommended length is specified for the different sections of part B.

Any additional material sent with the proposal (company brochures, supporting documents and reports, videos etc.) will be disregarded. In proposals submitted electronically, other embedded material or hyperlinks to other documents will be disregarded.

#### ***II.4 Proposal language***

Proposals may be submitted in any official language of the European Union. If your proposal is not in English, a translation of the full proposal would be of assistance to the evaluators, and an English translation of the abstract must be included in Part B of the proposal.

#### ***II.5 Electronic proposal submission system***

An electronic proposal submission system is available **via the CORDIS call page** to help you prepare your proposal. This tool may be used directly online to prepare and submit a proposal, or alternatively, it may be downloaded to prepare a proposal offline. In this case, once the proposal has been prepared with the offline tool, it may be either sent: electronically via the online tool, or printed and submitted on paper with a CD-ROM or diskette copy included, as preferred by the proposers. Direct online submission is strongly encouraged.

Use only the version of the proposal preparation tool which is prepared for **this instrument in this call in Euratom programme**.

#### ***II.6 Pre-proposal check***

No preproposal check facility exists for a proposal to be submitted for the Euratom Call Open.

### III Submission of proposals

Proposals to the Euratom programme may be submitted using the electronic proposal submission system (EPSS) online via the Internet, or on paper, preferably created by using the offline electronic tool and accompanied by an electronic copy of the package created by the offline tool on CD-ROM or diskette, to the address indicated in the Call for proposals.

#### III.1 Online electronic submission

The online EPSS is a Web-based system, i.e. you do not have to install special software on your computer. You only need a standard Web browser and a login and password. All data that you encode and upload are securely stored on a Web server, so that only the participants in your proposal have access (not even the Commission before submission), providing a common online workspace for the preparation of the proposal.

##### Request of login and password (only by the coordinator):

- Go to the CORDIS call page for the current call (reference see section VII)
- On the call page, go to the box "Prepare and submit a proposal for this call".
- Choose the instrument you want to apply for from the dropdown list and press "Go". You will arrive at the EPSS start page.
- Click on "Register", fill in the registration form and submit it. The EPSS will send you a login and password **by post** (for reasons of security and confidentiality). On your own risk, you can ask for having an **advance copy of login and password by e-mail or fax** by sending an e-mail to the EPSS helpdesk (reference see section VI.2).
- The login and password is linked to only one proposal (for the call and for the instrument you have chosen). For each proposal you want to prepare you have to register again, following the procedure described above.

The time required to receive the login and password after registration will vary from place to place and the Commission takes no responsibility for the late receipt of logins and passwords. Proposal co-ordinators should therefore apply for logins and passwords well in advance. If you wish to **receive the login and password by electronic mail or by fax in advance of the posted information**, you may indicate this to the EPSS helpdesk. The Commission takes no responsibility for any potential loss, incorrect arrival or use of the password and login if they are requested by fax or e-mail. The proposers take the risk and responsibility to ensure that the fax number and/or electronic mail address provided are correct and assume all risks in case of error, misuse, illegibility or loss of confidentiality or security. In all cases, the logins and passwords will be provided by the post. In the event of contradiction or errors, only that information provided by the post constitutes the correct version. It is in any case suggested that the password be changed upon first use to ensure confidentiality.

##### Using the online system (coordinator):

Once you have received your login and password, you can start building a proposal. Access to the system is again via the CORDIS call page for the current call. By entering your login and password you reach the EPSS main menu for your proposal. As co-ordinator, at the first login, you should reset your own password and the participants' password. As a coordinator you can:

- set up and modify your consortium by adding/removing partners
- complete and modify administrative forms
- upload documents (.rtf or .pdf) forming part B (the description of the contents) of your proposal
- assign a password to be shared by other participants
- submit the proposal

**Using the system (participants other than coordinator):**

Participants receive their login and password from the coordinator. For entering the EPSS see above. As a participant (not coordinator) you can:

- view the whole proposal
- download all administrative forms
- download the document template for part B
- complete and modify your own A2 administrative form

**Submitting a proposal online**

Once there is a consolidated version of the proposal, the coordinator submits it by pressing the "Submit" button. On submission, the EPSS performs an initial check on eligibility and informs the coordinator of any apparent eligibility problems with the proposal. This check does not replace the eligibility check carried out by the Commission and the coordinator may decide to submit the proposal even when apparent eligibility problems have been indicated by the EPSS.

Once successfully submitted, the coordinator receives a message that indicates that his/her proposal has been received and accepted for submission. The coordinator may continue to modify his/her proposal and **submit (by pressing the 'submit' button)** revised versions overwriting the previously submitted one up until the call closure, but will not be able to modify the proposal after call closure. Proposal files successfully submitted, but which later turn out to contain computer viruses or which are unreadable or unprintable, shall be rejected.

For the proposal content (part B) you are requested to use PDF ("portable document format", version 3 or higher with embedded fonts) or RTF ("rich text format"). Technical problems resulting from the use of other formats may lead to your proposal being ineligible.

**Failure of your proposal to arrive in time for any reason, including communications delays, is not acceptable as an extenuating circumstance.**

**One of the advantages of electronic submission with the EPSS is the possibility to re-submit (until the call closure). It is advisable to submit a first consolidated version of the proposal well in advance of the deadline (i.e. at least several days before), so that in case of technical or other problems close to the deadline there is always a valid version already submitted.**

***III.2 Proposal preparation and submission using the EPSS-EPT offline version*****Downloading the offline EPSS:**

The offline EPSS (the EPT) is a software programme running on your computer to create a proposal for later upload to the online EPSS or for creating a proposal to be sent on paper with an electronic copy on CD-ROM or diskette. For preparing a proposal you have to download two components:

- the EPT tool itself, i.e. the basic software
- the package of forms and templates specific to the call and instrument you want to apply for.

The following steps are necessary:

- Go to the CORDIS call page for the current call (reference see section VII)
- On the call page, go to the box "Prepare and submit a proposal for this call".

- Choose the instrument you want to apply for from the dropdown list and press "Go". You will arrive at the EPSS start page.
- Click on "Download EPT", then choose one of the two options, depending on your computer platform, and follow the instructions for download and installation
- Go back to the EPSS start page (via the CORDIS call page) and click on "Download forms"
- Fill in the form asking for some basic information on your proposal, click "next"
- Click "Download forms package"; you will be able to download the package (a compressed .zip file) applicable to the call and instrument you have chosen before. You have later to remember the directory on your hard disk to which you saved the package

### **Working with the offline EPSS (EPT):**

- Unzip the forms package to a separate directory indicating call and instrument
- If you have downloaded the EPTool with the Java runtime attached, find the directory ...\\EPTool that has been created on your hard disk during installation of the tool. In this directory you find a pdf file "EPSS-EPT-user-guide" with instructions how to use the application. Otherwise locate the EPT files you have downloaded, extract them to a directory. We recommend using the ...\\EPTool directory.
- In the subdirectory ...\\EPTool\\bin you find the file "Runme.bat" (Windows) or "runme.sh" (Unix), a batch or shell script file. Run this file to open the application, use the programme following the instructions in the "EPSS-EPT-user-guide" (see above)

### **Submitting a proposal created with the offline EPSS (EPT)**

Once you have created and packaged a proposal with the offline tool following the instructions in the "EPSS-EPT-user-guide", submission may be carried out in two ways:

- By uploading the package to the online EPSS. **You will need a login and password for the online EPSS** (see instructions above);
- By using the offline tool to prepare a paper copy of the proposal and to write the package created by the offline tool to CD-ROM or diskette. The paper copy and the CD-ROM or diskette are then delivered by post, courier service or by hand before the call closure to the address given in the call for proposals (see also section III.3 and III.4).

When using the second method, the proposal coordinator must include a paper copy of the proposal in the package with the CD-ROM/diskette. The paper copy is only used for processing and subsequent evaluation if the electronic media are unreadable or if the files on the media are found to contain a computer virus. **A proposal submitted on CD-ROM or diskette which is not prepared and packaged by the offline EPSS (EPT), which is unreadable or which contains a computer virus will be rejected if a paper copy is not included in the same package.**

**Disclaimer:** The offline EPSS is a software tool to be installed and running on your computer system. Although designed for maximum compatibility, its proper functioning, as for any software, depends on proper installation and on your computer environment and settings and can therefore not be guaranteed. The Commission cannot be held liable for any malfunction of the offline EPSS on your computer nor can it give technical assistance on problems related with your local computer environment.

**Software problems with the offline EPSS are not considered as extenuating circumstances for call deadlines. It is therefore advisable to test the functioning of the system well in advance of the deadline.**

### ***III.3 Submission on paper***

#### ***III.3.1 Procedure***

**Proposal Part A** – You can either complete the forms electronically using the offline EPSS tool, then print out the completed forms for submission. Alternatively, you may use the forms annexed to this Guide, photocopying extra copies of the form A2 as necessary for the number of partners in your proposal.

**Proposal Part B** – Prepare a text document following the outline supplied in Annex 2:

- each page of Part B **must** be numbered (preferably in the format “page X of Y”).
- each page of Part B **must** be headed with the acronym you have chosen for your proposal.

**For submission of FP6 proposals the Commission does not require signatures of proposers.**

#### ***III.3.2 Preparing your proposal for submission***

**Your proposal should be submitted as one complete unbound Part A and one complete unbound Part B.** The Commission will reproduce the number of copies needed by the evaluators. Therefore,

- **Print your proposal on white A4 paper (80 g/m<sup>2</sup>)**
- **Print on one side of the paper only; no two-sided copies please**
- **Do not convey information using colour; the copies will be made in black and white**
- **Do not use glossy or surfaced paper**
- **Do not include paper clips or staples**
- **Do not include front or back covers of plastic, card etc.**
- **Do not bind your proposal**

You are strongly advised to securely retain an additional complete unbound copy of your proposal.

#### ***III.3.3 Packaging and delivery***

The complete set of proposal documentation should be placed in an envelope or envelopes marked “Commercial-in-confidence” with the following information on each:

- Euratom Programme
- The call identifier as given in the Call for proposals (Euratom call Open)
- The proposal acronym

If you use more than one envelope, please mark them clearly 1 of X, 2 of X etc.

The envelope(s) should then be sealed within an overall packaging, which should be addressed to the Commission office for receipt of proposals as given in the Call for proposals.

The proposal may be sent by mail, by special delivery service or delivered by hand, but **must** arrive at the specified address no later than the deadline given in the Call for proposals.

If you are submitting more than one proposal:

Submit each proposal separately. **Never mix two different proposals in a single package.**

### ***III.3.4 Errors in submitted proposals***

If after sending your proposal you discover there has been a **serious error which will affect its evaluation** (wrong address, missing pages, missing parts.....) and the call deadline has not yet passed, you should at once contact the Euratom Information Desk, the co-ordinates of which are given below.

Once the deadline has passed, however, we can accept no further additions, corrections or re-submissions.

### ***III.4 Addresses for proposal submission***

Proposals to be submitted on paper or on CD-ROM/diskette have to be sent to one of the two following addresses, depending on the method of delivery

#### ***III.4.1 Address for sending proposals by post***

FP6 – Research Proposals  
[Call identifier]  
European Commission  
B-1049 Brussels

#### ***III.4.2 Address for delivering proposals by hand or by representatives (including courier services)<sup>1</sup>***

FP6 – Research Proposals  
[Call identifier]  
European Commission  
Rue de Genève, 1  
B-1140 Brussels

The office of the Commission courier service at this address has the following opening hours:

Monday to Thursday: 8.00 – 17.00  
Friday and days before Commission holidays: 8.00 – 16.00

Instructions on how to reach this office are available at [http://www.cordis.lu/fp6/sub\\_hand.htm](http://www.cordis.lu/fp6/sub_hand.htm)

### ***III.5 Deadline for reception***

To be eligible, proposals must be **received** by the Commission before or on the deadline at the address specified in the call. Deadlines for this Call Open are 06.05.2003 (passed), 14.10.2003 (passed), 14.04.2004, 12.10.2004, 12.04.2005, 11.10.2005 and 11.04.2006, at 17.00 (Brussels local time). Proposals received after a deadline will be grouped and evaluated after the next deadline.

**Proposers are reminded that it is their own responsibility to ensure the safe and timely delivery of their proposal.**

### ***III.6 Acknowledgement of receipt***

Once a proposal has been received and registered by the Commission, an Acknowledgement of receipt letter will be despatched to the proposal coordinator.

The sending of an Acknowledgement of receipt by the Commission does not imply that a proposal has been accepted as eligible for evaluation

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<sup>1</sup> Users of courier services that ask for recipient's telephone number should give the following number: (32-2) 295 58 75 (Mr. J-C Debouvere).

## **IV Evaluation and negotiation**

### ***IV.1 Timetable of evaluation***

All proposals that fulfil the eligibility criteria (see the “Guidelines on Proposal Evaluation and Selection Procedures” at call page) are evaluated to determine their quality. Independent experts will evaluate the eligible proposals, following the criteria of the Euratom Workprogramme and Euratom Guidance Notes for Evaluators.

The experts will prepare an Evaluation Summary Report on each proposal which will later be sent by the Commission to the proposal coordinator. The experts will make a list of the proposals ranked in order of merit for consideration by the Commission.

The experts’ conclusions are examined by the Commission with the support of the Consultative Committee for the Euratom programme, which comprises representatives of Member and Associated States.

After the evaluation results are finalised, all proposers will receive a further copy of the Evaluation Summary Report with the outcome of the evaluation of their proposal (ie, recommended for support, rejection, reserve list). These reports will be sent out about 2 months after the closure date.

Unsuccessful proposers will subsequently receive a formal notification of a Commission Decision not to retain their proposal.

Successful proposals that involve ethical issues will go through an ethical review prior to the contract negotiation. This may raise issues that may need clarification prior to or during the negotiations.

#### **Remote evaluation**

For the current call, the Commission may opt to have proposals evaluated remotely. For this, independent experts are invited to carry out the evaluation fully or partially at their home or place of work. In general, remote assessment of proposals is used for the individual reading and evaluation of proposals by individual independent experts.

The fact of using remote evaluation for any step of the overall evaluation process does not change in any way the provisions on confidentiality or conflict of interest set out in the code of conduct for independent experts. Independent experts working remotely are also required to fill in and sign the declaration on confidentiality and non-conflict of interest before beginning work.

### ***IV.2 Contract negotiations***

If the proposal has been successful in the evaluation and has been retained for possible funding, contract negotiation will start through an official letter sent by the Commission in about 3 months after the closure date.

Negotiations apply at two levels: scientific & technological and administrative & financial. The officials conducting these negotiations on behalf of the Commission will be working within a predetermined budget envelope for the proposal and also within the framework of any recommendations which the experts may have made concerning modifications to the work presented in the proposal.

Exceptionally, proposers may be asked to come to Brussels for a meeting to facilitate the negotiation. If necessary this would occur around 3 to 4 months after the closure date.

Proposers should familiarise themselves with the content of the model contract for this instrument, and its annexes prior to the start of negotiations. This contract can be downloaded from the Internet (see address in Section VII).

Before negotiations can begin, the Commission may request certain legal and financial information on participating organisations (Legal documents and financial viability checks are not requested from public organisations, only from all private non-profit and private participants). All participants in the proposals should familiarise themselves at an early stage with the documentation they will need to provide if they are successful and the applicant must ensure that the correct documentation is sent to the Commission. This information must be made available with the submission of the Contract Preparation Form. The information required is fully described in detail in the Contract preparation forms (see address in Section VII).

In particular, the Commission may ask for a copy of the document that legally establishes each organisation in your consortium.

Proposers should also note that the European Commission's Science and Society Action Plan places an onus on all recipients of Community funding through the framework programme to systematically disseminate to the public information that will raise awareness of the scientific work being undertaken and the benefits to the society that are likely to accrue.

If the negotiation is successful, a Commission Decision approving the funding is foreseen within about 6 months of the closure date. The project may begin work in accordance with the provisions in the contract signed with the Commission regarding the project start date.

In the event of budget availability (due e.g. to failure of negotiations of initially-selected proposals, or to negotiations concluded at a lower level of funding than originally anticipated), proposers from a short reserve list may be contacted to start negotiations.

## V Check list for proposers

For **ELECTRONIC** submission of your proposal you must check the following:

- Are both parts of your proposal, Part A and Part B fully completed?
- Is your Part B prepared in the approved file format (PDF or RTF), including no material in other formats?
- Have you virus-checked your complete proposal, using up-to-date anti-virus software?
- Do you have all the necessary authorisations from each member of the consortium to submit this proposal on their behalf (the Commission does not prescribe in which form the authorisations are made and will not check them; this is a matter of internal organisation of the Consortium)?
- Last but not least: have you made all possible arrangements to ensure that the proposal arrives at the Commission before the deadline?

For **PAPER** submission (including submission on CD-ROM or diskette which must include a paper version) of your proposal you must check the following:

- Are both parts of your proposal, Part A and Part B fully completed?
- Is each page of your proposal headed with the proposal acronym
- Is each of the pages numbered (page X of Y)?
- Is your proposal prepared as one complete unbound single-sided paper copy (plus one additional copy for you to hold in reserve)?
- Is the copy of the proposal placed in an envelope, marked “Commercial-in-confidence, with the following information:
  - “Euratom Programme”?
  - The Call identifier (Euratom Call Open)?
  - The proposal acronym?
- If you used more than one envelope, are they numbered 1 of X, 2 of X, etc., with each clearly marked as described above?
- Is the complete set of proposal documentation placed in a package, correctly addressed using the address given in the Call for proposals ?
- Is the outside of the package marked “Euratom Programme” ?
- Do you have all the necessary authorisations from each member of the consortium to submit this proposal on their behalf (the Commission does not prescribe in which form the authorisations are made and will not check them; this is a matter of internal organisation of the Consortium)?
- Last but not least: have you made all possible arrangements to ensure that the proposal arrives at the Commission before the deadline?

## VI Support to proposers

### VI.1 Euratom Information Desk

The co-ordinates of the Euratom Information Desk is:

European Commission  
The Euratom Information Desk  
Directorate General RTD/J.4  
MO75 5 59  
B-1049 Bruxelles/Brussel

Email: [rtd-euratom@cec.eu.int](mailto:rtd-euratom@cec.eu.int)  
Phone: +32 2 295 8074  
Fax: +32 2 295 4991  
Web: <http://www.cordis.lu/fp6-euratom/home.html>

The desk is open 09h00 - 17h00 (Brussels time), Monday to Friday.

**Links to all the necessary information to prepare a proposal are available on the Euratom Call Open  
(see Section VII)  
Proposers should periodically check this for latest information**

### VI.2 EPSS helpdesk

This software-related technical helpdesk treats exclusively technical questions on the use of the electronic proposal submission system (EPSS):

EPSS Helpdesk  
E-mail: [support@epss-fp6.org](mailto:support@epss-fp6.org)  
Phone: +32 2 233 37 60

### VI.3 Partner search facilities

The Commission's CORDIS server offers a number of services and information sources which may be useful in partner search for participation in this priority, as well as a list of organisations which have already expressed an interest in participating in the call (see addresses in Section VII).

### VI.4 National Contact Points

The Euratom Programme supports a network of National Contact Points (NCPs), which can be helpful to organisations from their country both in general advice (particularly on preparing proposals) and in finding partners from other countries. Organisations should contact the NCP of their own country for further information (see CORDIS at: <http://www.cordis.lu/fp6/ncp.htm> ).

### VI.5 Euratom Information Days

The Euratom programme, EU Member States and Associated States frequently organise Information Days, where those interested in proposing may attend for a presentation of the Euratom Programme and of the general Framework Programme, to obtain documentation, to ask questions and to meet potential consortium partners.

The latest information on planned Information Days is obtainable on the Internet (see address in Section VII).

### ***VI.6 The Intellectual Property Rights Helpdesk***

The IPR-Helpdesk's main objective is to assist potential and current contractors taking part in Community funded projects on Intellectual Property Rights issues, and in particular on Community diffusion and protection rules and issues relating to IPR in international projects. Another objective is to raise awareness in the European research community on IPR issues, emphasising their European dimension.

It operates a free helpline offering a first line assistance on IPR related issues. The helpline is run in English, French, Italian, German and Spanish.

**Website**

<http://www.ipr-helpdesk.org>

**Helpline (detailed queries)**

[ipr-helpdesk@ua.es](mailto:ipr-helpdesk@ua.es)

tel +34 96 590 97 18

fax +34 96 590 97 15

**Representative office (general information)**

tel +32 2 649 53 33

fax +32 2 647 59 34

[ipr-helpdesk@global-eu.com](mailto:ipr-helpdesk@global-eu.com)

## VII References

Potential proposers could consult the following documents:

### Legal decisions

Decision on the Framework Programme	<a href="http://www.cordis.lu/fp6/decision/">http://www.cordis.lu/fp6/decision/</a>
Rules of Participation in FP6	<a href="http://www.cordis.lu/fp6/participationrules/">http://www.cordis.lu/fp6/participationrules/</a>
Decision on the Specific Programme	<a href="http://www.cordis.lu/fp6/specificprogrammes/">http://www.cordis.lu/fp6/specificprogrammes/</a>

### Euratom Call Open

Euratom Open Call announcement	<a href="http://fp6.cordis.lu/fp6/call_details.cfm?CALL_ID=46">http://fp6.cordis.lu/fp6/call_details.cfm?CALL_ID=46</a>
Brochure "The Euratom Fp6 in Brief"	<a href="http://www.cordis.lu/fp6/euratom-inbrief/">http://www.cordis.lu/fp6/euratom-inbrief/</a>
Guides for Proposers call 2004	<a href="http://fp6.cordis.lu/fp6/call_details.cfm?CALL_ID=46">http://fp6.cordis.lu/fp6/call_details.cfm?CALL_ID=46</a>
Euratom Workprogramme 2004	<a href="http://fp6.cordis.lu/fp6/call_details.cfm?CALL_ID=46">http://fp6.cordis.lu/fp6/call_details.cfm?CALL_ID=46</a> and <a href="http://www.cordis.lu/fp6/workprogrammes/">http://www.cordis.lu/fp6/workprogrammes/</a>
Guidelines on Proposal Evaluation and Selection Procedures	<a href="http://fp6.cordis.lu/fp6/call_details.cfm?CALL_ID=46">http://fp6.cordis.lu/fp6/call_details.cfm?CALL_ID=46</a> and <a href="http://www.cordis.lu/fp6/eval-procedures">http://www.cordis.lu/fp6/eval-procedures</a>
Guidance Notes for Evaluators (Euratom)	<a href="http://fp6.cordis.lu/fp6/call_details.cfm?CALL_ID=46">http://fp6.cordis.lu/fp6/call_details.cfm?CALL_ID=46</a>
Organisations expressing interest in this Call	<a href="http://fp6.cordis.lu/fp6/call_details.cfm?CALL_ID=46">http://fp6.cordis.lu/fp6/call_details.cfm?CALL_ID=46</a>
<b>Gateway to Electronic Proposal Submission Service</b>	<a href="http://fp6.cordis.lu/fp6/call_details.cfm?CALL_ID=46">http://fp6.cordis.lu/fp6/call_details.cfm?CALL_ID=46</a>

### Supporting information

CORDIS FP6 service	<a href="http://www.cordis.lu/fp6/home.html">http://www.cordis.lu/fp6/home.html</a>
National Contact Points	<a href="http://www.cordis.lu/fp6/ncp.htm">http://www.cordis.lu/fp6/ncp.htm</a>
Euratom Information Days and other events	<a href="http://www.cordis.lu/fp6-euratom/events.htm">http://www.cordis.lu/fp6-euratom/events.htm</a>
IPR helpdesk	<a href="http://www.ipr-helpdesk.org">http://www.ipr-helpdesk.org</a>
CORDIS partner search facility	<a href="http://partners-service.cordis.lu">http://partners-service.cordis.lu</a>
Innovation Relay Centres:	<a href="http://irc.cordis.lu">http://irc.cordis.lu</a>
International co-operation	<a href="http://europa.eu.int/comm/research/fp6/index_en.html">http://europa.eu.int/comm/research/fp6/index_en.html</a> ( <i>general site</i> ) and <a href="http://www.cordis.lu/fp6/inco/">http://www.cordis.lu/fp6/inco/</a>
Science and Society action plan	<a href="http://europa.eu.int/comm/research/science-society/action-plan/action-plan_en.html">http://europa.eu.int/comm/research/science-society/action-plan/action-plan_en.html</a> and <a href="http://www.cordis.lu/rtd2002/science-society">http://www.cordis.lu/rtd2002/science-society</a>
Guidelines on techniques for science communicating with the public	<a href="http://www.cordis.lu/fp6/society.htm">www.cordis.lu/fp6/society.htm</a>
European Investment Bank	<a href="http://www.eib.org/">http://www.eib.org/</a> (EIB general information)

### Contractual information

Consortium agreement checklist	<a href="http://www.cordis.lu/fp6/consortiumchecklist/">http://www.cordis.lu/fp6/consortiumchecklist/</a>
Contract preparation forms	<a href="http://www.cordis.lu/fp6/contractpreparation/">http://www.cordis.lu/fp6/contractpreparation/</a>
Model contracts	<a href="http://www.cordis.lu/fp6/find-doc.htm#modelcontracts">http://www.cordis.lu/fp6/find-doc.htm#modelcontracts</a>

## **Annexes**

*Annex 1 - Proposal Part A: forms and instructions*

*Annex 2 - Proposal Part B: guidelines for preparing part B*

*Annex 3 - Ethical rules for FP6 projects*

*Annex 4 - Integrating the gender dimension*

*Annex 1 - Proposal Part A: forms and instructions*

# Proposal Submission Forms



EUROPEAN COMMISSION  
6<sup>th</sup> Framework Programme for  
Research, Technological  
Development and Demonstration

## Specific Support Action

# A1

Proposal Number <sup>1</sup>		Proposal Acronym <sup>2</sup>	
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### GENERAL INFORMATION ON THE PROPOSAL

<i>Proposal Title<sup>3</sup></i> <i>(max. 200 char.)</i>			
<i>Duration in months<sup>4</sup></i>		<i>Call (part) identifier<sup>5</sup></i>	
<i>Activity code(s) most relevant to your topic<sup>6</sup></i>			
<i>Keyword code 1<sup>7</sup></i>			
<i>Keyword code 2<sup>7</sup></i>			
<i>Keyword code 3<sup>7</sup></i>			
<i>Free keywords<sup>8</sup></i>			
<i>Abstract<sup>9</sup> (max. 2000 char.)</i>			

For a proposal to be considered as complete, all questions must be answered. If a field is not applicable to you, please enter -.

# Proposal Submission Forms



EUROPEAN COMMISSION  
6<sup>th</sup> Framework Programme for  
Research, Technological  
Development and Demonstration

## Specific Support Action

# A2

Proposal Number <sup>1</sup>		Proposal Acronym <sup>2</sup>	
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### INFORMATION ON PARTICIPANTS

Participant number <sup>1</sup>					
<b>Participant organisation</b>					
Organisation legal name <sup>11</sup>					
Organisation short name <sup>12</sup>					
Legal address					
PO Box <sup>13</sup>		Postal Code <sup>13</sup>		Cedex <sup>13</sup>	
Street name and number <sup>13</sup>					
Town <sup>13</sup>			Country <sup>14</sup>		
Internet homepage					
Activity Type HE, RES, IND, OTH <sup>15</sup>			Legal Status GOV, INO, JRC, PUC, PRC, EEIG <sup>17</sup> , PNP <sup>16</sup>		
If Legal Status "PRC", specify <sup>18</sup>					
Is the organisation a Small or Medium-Sized Enterprise (SME)? <sup>19</sup>					YES/NO
Are there dependencies between the organisation and (an)other participant(s) ? <sup>1</sup>					YES/NO
If yes, participant number		If yes, participant short name			
Character of dependence SG, CLS, CLB <sup>21</sup>					
If yes, participant number		If yes, participant short name			
Character of dependence SG, CLS, CLB <sup>21</sup>					
If yes, participant number		If yes, participant short name			
Character of dependence SG, CLS, CLB <sup>21</sup>					
<b>Person in charge<sup>22</sup></b>					
Name		First name(s)			
Title <sup>23</sup>		Sex: Female=F, Male=M <sup>24</sup>			
Department/Faculty/Institute/ Laboratory name					
Address (if different from above)					
PO Box <sup>13</sup>		Postal Code <sup>13</sup>		Cedex <sup>13</sup>	
Street name and number <sup>13</sup>					
Town <sup>13</sup>			Country <sup>14</sup>		
Phone 1 <sup>25</sup>		Phone 2 <sup>25</sup>			
e-mail			Fax <sup>25</sup>		

Previously submitted similar proposals or signed contracts? <sup>10</sup>		YES/NO	
If yes, programme name(s) and year			
If yes, proposal number(s) or contract number			

For a proposal to be considered as complete, all questions must be answered. If a field is not applicable to you, please enter -.



# How to complete the proposal submission forms

## Introduction

This document provides guidance on how to complete the attached administrative forms. These forms will be an integral part ('Part A') of your proposal for a Specific Support Action. Proposals may be submitted either electronically or on paper. **You are strongly advised to prepare and submit your proposal electronically** (for the procedure see chapter "Electronic submission" of the guide for proposers).

## How to complete the forms

- The co-ordinator fills in form A1 and A3;
- The participants (including the co-ordinator) fill in one A2 form each.

Subcontractors are not required to fill in the A2 form and are not listed separately in the A3 form.

Explanatory notes are attached. Forms A1 to A3 submitted on paper may be machine-read at the Commission, so to avoid misreading of your proposal details, we would kindly ask you to read and follow these notes carefully. Please keep forms A1 to A3 as clean as possible and do not fold, staple or amend them with correction fluid. Enter your data only in the white space on the forms, and do not type outside the boundaries as the data then may be truncated in the Commission's database. For questions requiring a choice between different boxes, please enter X in the appropriate space. In case of paper submission, you may find it easier to do this by hand in black ink, rather than try to line up a single typed character. For numbers, (amount, duration, etc.), please round to the nearest whole number. Do not insert any character or space to separate the digits in a number. Please remember to indicate the proposal short name (acronym) in all sheets of the forms (part A) where indicated, and on every page of the other parts, including any annexes. All costs must be given in euro (and not kilo euro) and must exclude value-added tax (VAT).

### 1 Proposal number

The proposal number will be assigned by the Commission on submission. Please leave the field empty.

### 2 Proposal Acronym

Provide a short title or acronym of no more than 20 characters, to be used to identify the proposal. The same acronym should appear on each page of the proposal (part A and part B) in order to prevent errors during its handling.

### 3 Proposal Title

Give a title no longer than 200 characters that should be understandable also to the non-specialist in your field.

### 4 Duration

Insert the estimated duration of the project in full months.

### 5 Call (part) Identifier

The call (part) identifier is the reference number given in the call or part of the call you are addressing, as indicated in the publication of the call in the Official Journal.

### 6 Activity code(s) most relevant to your topic

Please insert the code for the activity of FP6 that is addressed by your proposal (for the list see <http://www.cordis.lu/fp6/activitycodes> ) If you consider that your proposal aims at more than one activity of FP6, you can indicate several codes, starting with the most relevant one (maximum three).

## 7 Keyword codes from thesaurus

Choose maximum 3 codes for keywords characterising your project from the hierarchical list available at <http://www.cordis.lu/fp6/keywords> .

## 8 Free keywords

In addition to the keywords from the hierarchical thesaurus, you have the possibility to freely choose additional words characterising your project (maximum 100 characters including spaces, commas etc.).

## 9 Abstract

You should not use more than 2000 characters. The abstract should, at a glance, provide the reader with a clear understanding of the objectives of the proposal and how the objectives will be achieved and their relevance to the objectives of the Specific Programme and the Work Programme. This summary will be used as the short description of the proposal in the evaluation process and in communications to the programme management committees and other interested parties. It must therefore be short and precise. Please use plain typed text, avoiding formulae and other special characters. If the proposal is written in a language other than English, please include an English version of the proposal abstract in part B.

## 10 Previously submitted similar proposals or signed contracts

If one or several of the participants have submitted or are in the process of submitting the same or a similar proposal to other public funding programmes insert YES, else NO. If yes, give the programme name, year of submission and proposal number or contract number.

## 11 Organisation legal name

Official name of participant organisation. If applicable, name under which the participant is registered in the official trade registers.

## 12 Organisation short name

The short name chosen by the participant for this proposal. This should normally not be more than 20 characters and the same should be used for the participant in all documents relating to the proposal.

## 13 Address data

Fill in only the fields forming your complete postal address (e.g. if the P.O. Box is sufficient, you do not have to give a street name). If your address is specified by an indicator of location other than a street name and number, please insert this instead.

## 14 Country

Insert the name of the country as commonly used.

## 15 Activity Type

Please insert the abbreviation for the activity type most appropriate to the organisation (only one), according to the following explanations:

- **HE-Higher Education:** organisations only or mainly established for higher education/training, e. g. universities, colleges
- **RES-Research:** organisations only or mainly established for carrying out research activities
- **IND-Industry:** industrial organisations private and public, both manufacturing and industrial services – such as industrial software, design, control, repair, maintenance;
- **OTH-Others:** Organisations not fitting in one of the above categories

## 16 Legal status

Please insert only one abbreviation from the list below, according to the following explanations:

**GOV:** Governmental (local, regional or national public or governmental organisations e. g. libraries, hospitals, schools);

**INO:** International Organisation (i. e. an international organisation established by national governments);

**JRC:** Joint Research Centre (the Joint Research Centre of the European Community);

**PUC:** Public Commercial Organisation (i. e. commercial organisation established and owned by a public authority) ;

**PRC:** Private Commercial Organisation including Consultant (i. e. any commercial organisations owned by individuals either directly or by shares);

**EEIG:** European Economic Interest Group;

**PNP:** Private Organisation, Non Profit (i. e. any privately owned non profit organisation).

### **17 Legal Status: If “EEIG”**

If the organisation is a European Economic Interest Group you have to add a sheet to part B of the proposal listing the members of the group (legal names, addresses, dependencies). This is necessary to verify if the proposal meets the eligibility criterion of minimum partnership.

### **18 Legal Status: 'If 'PRC', Specify'**

If you are a Private Commercial Organisation (PRC), please indicate the type of organisation (e.g.: SA, LTD, GmbH, independent person etc.).

### **19 Small or Medium Sized Enterprise (SME)**

To be regarded as an SME, your organisation must have:

– less than 250 full time equivalent employees

**and**

– an annual turnover not exceeding EUR 40 million **or** an annual balance sheet total not exceeding EUR 27 million,

**and**

– must not be controlled by 25% or more by a company which is not an SME (on the issue of control, see note **Error! Reference source not found.**).

If all the above conditions apply to the organisation insert YES, else NO.

### **20 Dependencies between participants**

Two participants (legal entities) are dependent on each other where there is a controlling relationship between them:

– A legal entity is under the same direct or indirect control as another legal entity,

or

– A legal entity directly or indirectly controls another legal entity,

or

– A legal entity is directly or indirectly controlled by another legal entity.

#### **Control:**

Legal entity A controls legal entity B if:

– A, directly or indirectly, holds more than 50% of the share capital or a majority of voting rights of the shareholders or associates of B,

or

– A, directly or indirectly, holds in fact or in law the decision-making power in B

Direct or indirect holding of more than 50% of the nominal value of the issued share capital in a legal entity or a majority of voting rights of the shareholders or associates of the said entity by public investment corporations, institutional investors or venture-capital companies and funds shall not in itself constitute a controlling relationship.

Ownership or supervision of *legal entities* by the same *public body* shall not in itself give rise to a controlling relationship between them.

## 21 Character of dependence

Insert the appropriate abbreviation according to the list below to characterise the relation between your organisation and the other participant(s) you are related with:

- **SG:** Same group: if your organisation and the other participant are controlled by the same third party
- **CLS:** Controls: if your organisation controls the other participant
- **CLB:** Controlled by: if your organisation is controlled by the other participant

## 22 Person in charge

Please insert in this section the data of the main scientist or team leader in charge of the proposal for the participant. For participant number 1 (the co-ordinator), this will be the person the Commission will contact concerning this proposal (e.g. for additional information, invitation to hearings, sending of evaluation results, convocation to negotiations).

## 23 Title

Please choose one of the following: Prof., Dr., Mr., Ms.

## 24 Sex

This information is required for statistical purposes. Please indicate with an F for female or an M for male as appropriate.

## 25 Phone and fax numbers

Please insert the full numbers including country and city/area code. Example +32-2-2991111.

## 26 Participant number

The number allocated by the consortium to the participant for this proposal. The **co-ordinator** of a proposal is always **number one**.

## 27 Requested grant to the budget and cost models<sup>1</sup>

The **Community grant** to be requested for a proposal depends on the cost model applicable to each participant and on the costs for the different activities. Maximum contributions as percentage of the respective costs are as follows:

	Maximum grant as percentage of full costs (participants applying the FC or FCF model)	Maximum grant as percentage of additional costs (participants applying the ACF model)
Support activities (see note 28)	100%	100%
Consortium management activities (see note <b>Error! Reference source not found.</b> )	100% (up to a maximum percentage of 7% of the Community contribution)	100% (up to a maximum percentage of 7% of the Community contribution)

The **cost models** to be applied by the participants have to be chosen according to the following instructions:

- **FC:** a full-cost model in which all actual direct and actual indirect costs may be charged to the contract;
- **FCF:** a simplified variant of the full-cost model, in which all actual direct costs may be charged to the contract, together with a flat-rate rate of 20% of all these direct costs, excluding subcontracts, which will be deemed to cover all related indirect costs;

<sup>1</sup> Provisional, subject to the Commission decision on the Model Contract. Please check for updates on the CORDIS call page.

- **ACF**: an additional-cost model, covering all direct costs that are additional to the recurring costs of a participant (with the exception of consortium management for which recurring costs would also be eligible), together with a flat-rate of 20% of all these direct costs, excluding subcontracts, which will be deemed to cover all related non-recurring indirect costs.

The FC model will be open to all participants, except for international organisations, physical persons and those public bodies obliged to use the additional-cost model. The FCF model will be an option available only to SMEs.

The ACF model will be the only model available to international organisations and physical persons as well as to those public bodies obliged to use this model. It will also be an option open to any public body free to choose its cost model.

Where an entity has a choice of models, it may choose only one for all its participations in those indirect actions of FP6 where these models are relevant. SMEs, which initially opt for the FCF model may however change to FC for later contracts.

## **28 Support activities**

Support activities can comprise:

- Conferences, seminars, working groups and expert groups;
- Studies, analysis;
- Fact findings and monitoring;
- Trans-national technology transfer and take-up related services;
- Development of research or innovation strategies;
- High level scientific awards and competitions;
- Operational support and dissemination, information and communication activities.
- Special Training Courses

## **29 Consortium management activities**

Projects will require particular attention by the consortium to overall management and co-ordination issues. Over and above the technical management of individual work packages, an appropriate management framework linking together all the project components and maintaining communications with the Commission will be needed. Depending on the size and scope of a project, a specially constituted management team with dedicated staff covering a range of skills may need to be set up.

Consortium management may include:

- co-ordination at consortium level of the technical activities of the project;
- the overall legal, contractual, ethical, financial and administrative management of the consortium;
- preparing, updating and managing the consortium agreement between the participants;
- co-ordination at consortium level of knowledge management and other innovation-related activities;
- the implementation of competitive calls by the consortium to find new participants (if applicable)
- overseeing the promotion of gender equality in the project;
- overseeing science and society issues, related to the research activities conducted within the project;
- obtaining audit certificates by each of the participants
- bank guarantees for SMEs (if applicable)

## **30 (Sub-)Total**

If the number of lines in the table on form A3 is not sufficient for your consortium, please use additional copies of A3. Indicate at the bottom the total number of A3 sheets used and the number of each sheet. On each sheet, except on the last one, insert the total values per sheet. On the last sheet, insert the overall totals.

*Annex 2 - Proposal Part B: guidelines for preparing part B*

## **Instructions for preparing proposal Part B for Specific Support Actions (including Special Training Courses) in the Euratom Programme**

**In addition to the detailed technical information provided in Part B, a proposal must also contain a Part A, containing basic information on the proposal and the consortium making the proposal<sup>1</sup>. The forms for Part A are provided elsewhere in this Guide. Incomplete proposals are not eligible and will not be evaluated.**

**Specific support actions are described in the Euratom Workprogramme, and complete details of their characteristics and their application within FP6 are at:**  
<http://www.cordis.lu/fp6/instruments.htm>

**Proposer should note that Specific Support Actions are confined to tasks aiding the implementation of the Euratom Programme and increasing the impact of its results: they do not involve scientific and technical research activities.**

Part B, the description of the proposal for a Specific Support Action including the special training courses fulfils the following main purpose:

Sections B.1 to B.6 provide an overall description of the project including the outline implementation plan for the duration of the project. It indicates how it corresponds to the various criteria, which will be used in its evaluation, and it describes the work planned in terms of its major elements (“activities”).

In these sections, proposers should demonstrate that

- The objectives of the project are relevant to the Euratom FP6
- Their partnership is adequate
- The project management and exploitation/dissemination plans are adequate
- The work plan to achieve the objectives of the project are well worked out and
- The milestones and deliverables are in a measurable and verifiable form

Section B.7 deals with other topics such as ethical, gender or safety issues related to the project.

The set of issues (as given in the work programme) that will form the common basis for the evaluation of proposals for Specific Support Actions (including Special Training Courses) is given in Box 1.

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<sup>1</sup> In the event of inconsistency between information given in Part A and that given in Part B, the Part A version will prevail

**Front page (p. 1)**

Proposal full title

Proposal acronym

Date of preparation

Type of instrument

in this case: Specific Support Actions including Special Training Courses

Main research topic (selected from the Euratom work programme)

Cross Cutting Research Topic (if any, from work-programme of other priorities)

**Front page (continue on p.2)**

Proposal acronym

List of participants (organisations) and country  
with co-ordinator first

Co-ordinator's name

Co-ordinator's organisation name

Co-ordinator's email

Co-ordinator's telephone

Co-ordinator's fax

**Contents page**

show contents list

**Proposal summary page**

Proposal full title

Proposal acronym

Proposal abstract

copied from Part A (if not in English, include an English translation)

**Subsequent pages**

Description of the project in the following sections corresponds to the various evaluation criteria (see Box 1) that will be applied. The number of pages mentioned for each section is purely indicative and should be adapted to the need.

**B.1 Objectives of the proposed project**

Describe the proposed project's objectives. The objectives should be stated in a measurable and verifiable form. The progress of the project work will be measured against these goals in later reviews and assessments. (length – 2 pages)

**B.2 Relevance to the objectives of the Euratom Programme**

Describe the manner in which the proposed project's objectives contribute to the scientific, technical, wider societal and policy objectives of the Euratom Programme in the areas concerned. (length – ½ page)

**B.3 Potential impact**

Describe the impact of the proposed support action in the area concerned. Describe the exploitation and/or dissemination plans and show how they are adequate to ensure optimal use of the project results, where possible beyond the participants in the support action. Describe the added-value in carrying out the work at a European level, indicate what account is taken of other national or international research activities. (length – 1-2 pages)

**B.4 The consortium and project resources**

Describe the role of the participants and the specific skills of each of them. Show how the participants are suited and committed to the tasks assigned to them, show complementarity between

participants to generate added value with respect to the Euratom programme. Describe the resources, human and material, that will be deployed for the implementation of the project. Include a SSA Project Effort Form, as shown below, covering the full duration of the project. Demonstrate how the project will mobilise the critical mass of resources (personnel, equipment, finance...) necessary for success; show that the overall financial plan for the project is adequate. (length – 2-3 pages)

### **B.5 Project management**

Describe the organisation, management and decision making structures of the project. Describe the plan for the management of knowledge, of intellectual property and of other activities arising in the project. ( length – 1-2 pages)

### **B.6 Workplan**

This section describes in detail the work planned to achieve the objectives of the proposed project. This should primarily be based on the structure of the forms (see below) and should be supplemented by the text as necessary, among others, to indicate the links between different work packages. An introduction should explain the structure of this workplan plan and how the plan will lead the participants to achieve the objectives. It should also identify significant risks, and contingency plans for these. The plan must be broken down according to types of activities: support activities and management activities. Within each activity the workplan should be broken down to workpackages (WPs) which should follow the logical phases of the project, and include management of the project and assessment of progress and results. (length, excluding the forms specified below, is up to 10 pages).

Essential elements of the plan are:

- a) Workplan introduction – explaining the structure of this plan and the overall methodology used to achieve the objectives.
- b) Work planning, showing the timing of the different WPs and their components (Gantt chart or similar)
- c) Graphical presentation of the components showing their interdependencies (Pert diagram or similar)
- d) Detailed work description broken down into workpackages:
  - Workpackage list (use Workpackage list form below);
  - Deliverables list (use Deliverables list form below);
  - Description of each workpackage (use Workpackage description form below, one per workpackage).

Note: The number of workpackages used must be appropriate to the complexity of the work and the overall value of the proposed project. Each workpackage should be a major sub-division of the proposed project and should also have a verifiable end-point (normally a key deliverable or an important milestone in the overall project). The planning should be sufficiently detailed to justify the proposed effort and allow progress monitoring by the Commission – the day-to-day management of the project by the consortium may require a more detailed plan.

### **B.7 Other issues**

If there are ethical or gender issues associated with the subject of the proposal, show they have been adequately taken into account - indicate which national and international regulations are applicable and explain how they will be respected. Explore potential ethical aspects of the implementation of project results. Are there other EC-policy related issues, and are they taken into account? Demonstrate a readiness to engage with actors beyond the research to help spread awareness and knowledge and to explore the wider societal implications of the proposed work; if relevant set out synergies with education at all levels. (length – as appropriate )

**Box 1. Evaluation Criteria and Threshold Mark Specific Support Actions including Special Training Courses**

The following set of issues is intended to be common to all parts of FP6 for the evaluation of proposals for specific support actions.

**1. Relevance (threshold score 4 out of 5)**

The extent to which

- the proposal addresses key issues defined in the work programme/call, specific programmes or ERA, as appropriate.

**2. Quality of the support action (threshold score 3 out of 5)**

The extent to which:

- the proposed objectives are sound and the proposed approach, methodology and work plan are of a sufficiently high quality for achieving these objectives.
- the applicant(s) represent(s) a high level of competence in terms of professional qualifications and/or experience.
- the proposed activities are innovative and original (*if applicable*).

**3. Potential impact (threshold score 3 out of 5)**

The extent to which:

- the impact of the proposed work can only be achieved if carried out at European level.
- the Community support would have a substantial impact on the action and its scale, ambition and outcome.
- exploitation and/or dissemination plans are adequate to ensure **optimal use of the project results**, where possible beyond the participants in the project.

**4. Quality of the management (threshold score 3 out of 5)**

- the extent to which the management structure is credible in terms of professional qualifications, experience, track record and capacity to deliver.

**5. Mobilisation of resources (threshold score 3 out of 5)**

- the extent to which the project provides for the **resources** (personnel, equipment, financial...) necessary for success.
- the overall **financial plan** for the project is **adequate**.

Equal weight will be given to 5 main headings. Overall threshold score 17.5 out of 25 (ie, a score of 75% of the maximum possible).

**SSA Project Effort Form**

**Full duration of project**

(insert person-months for activities in which partners are involved)

Project acronym -

	Partner 1 short name	Partner 2 short name	Partner 3 short name	Partner 4 short name	Partner 5 short name	etc	TOTAL PARTNERS
<b>Support activities</b>							
WP name							
WP name							
WP name							
etc							
Total management							
<b>Management activities</b>							
WP name							
WP name							
WP name							
Etc							
Total support activities							
<b>TOTAL ACTIVITIES</b>							

**Project Acronym--  
Workpackage list (full duration of project)**

Work- package No <sup>1</sup>	Workpackage title	Lead contractor No <sup>2</sup>	Person- months <sup>3</sup>	Start month <sup>4</sup>	End month <sup>5</sup>	Deliv- erable No <sup>6</sup>
	<b>TOTAL</b>					

<sup>1</sup> Workpackage number: WP 1 – WP n.

<sup>2</sup> Number of the contractor leading the work in this workpackage.

<sup>3</sup> The total number of person-months allocated to each workpackage.

<sup>4</sup> Relative start date for the work in the specific workpackages, month 0 marking the start of the project, and all other start dates being relative to this start date.

<sup>5</sup> Relative end date, month 0 marking the start of the project, and all ends dates being relative to this start date.

<sup>6</sup> Deliverable number: Number for the deliverable(s)/result(s) mentioned in the workpackage: D1 - Dn.



**Project Acronym--  
Workpackage description (full duration of project)**

<b>Workpackage number</b>		<b>Start date or starting event:</b>							
<b>Participant id</b>									
<b>Person-months per participant:</b>									

**Objectives**

**Description of work**

**Deliverables**

**Milestones<sup>1</sup> and expected result**

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<sup>1</sup> Milestones are control points at which decisions are needed; for example concerning which of several technologies will be adopted as the basis for the next phase of the project.

*Annex 3 - Ethical rules for FP6 projects*

## Ethical rules for FP6 projects

### National legislation

Participants in FP6 projects must conform to current legislation and regulations in the countries where the research will be carried out. Where required by national legislation or rules, participants must seek the approval of the relevant ethics committees prior to the start of the RTD activities that raise ethical issues.

### EC legislation

Participants must conform to relevant EU legislation such as:

- The Charter of Fundamental Rights of the EU
- Directive 2001/20/EC of the European Parliament and of the Council of 4 April 2001 on the approximation of the laws, regulations and administrative provisions of the Member States relating to the implementation of good clinical practice in the conduct of clinical trials on medicinal products for human use
- Directive 95/46/EC of the European Parliament and of the Council of 24 October 1995 on the protection of individuals with regard to the processing of personal data and on the free movement of such data
- Council Directive 83/570/EEC of 26 October 1983 amending Directives 65/65/EEC, 75/318/EEC and 75/319/EEC on the approximation laid down by law, regulation or administrative action relating to proprietary medicinal products
- Directive 98/44/EC of the European Parliament and of the Council of 6 July 1998 on the legal protection of biotechnological inventions
- Directive 90/219/EEC of 23 April 1990 on the contained use of genetically modified micro-organisms
- Directive 2001/18/EC of the European Parliament and of the Council of 12 March 2001 on the deliberate release into the environment of genetically modified organisms and repealing Council Directive 90/220/EEC

### International conventions and declarations

Participants should respect the following international conventions and declarations:

- Helsinki Declaration in its latest version
- Convention of the Council of Europe on Human Rights and Biomedicine signed in Oviedo on 4 April 1997, and the Additional Protocol on the Prohibition of Cloning Human Beings signed in Paris on 12 January 1998
- UN Convention on the Rights of the Child
- Universal Declaration on the human genome and human rights adopted by UNESCO

Participants should take into account to the opinions of the European Group of Advisers on the Ethical Implications of Biotechnology (1991 –1997) and the opinions of the European Group on Ethics in Science and New technologies (as from 1998).

### Protection of Animals

In accordance with the Amsterdam protocol on animal protection and welfare, animal experiments must be replaced with alternatives wherever possible. Suffering by animals must be avoided or kept to a minimum. This particularly applies (pursuant to Directive 86/609/EEC) to animal experiments involving species which are closest to human beings. Altering the genetic heritage of animals and cloning of animals may be considered only if the aims are ethically justified and the conditions are such that the animals' welfare is guaranteed and the principles of biodiversity are respected.

**Ethical review at EU level**

An ethical review will be implemented systematically by the Commission for proposals dealing with ethically sensitive issues, in particular proposals involving the use of human embryonic stem cells in culture. In specific cases, further ethical reviews may take place during the implementation of a project.

**Fields of research which are excluded from the programme:**

Certain fields of research are excluded:

- Research activity aiming at human cloning for reproductive purposes;
- Research activity intended to modify the genetic heritage of human beings which could make such changes heritable<sup>1</sup>;
- Research activities intended to create human embryos solely for the purpose of research or for the purpose of stem cell procurement, including by means of somatic cell nuclear transfer.
- Pending the establishment of further implementation provisions by the end of 2003 at the latest, the Commission will not fund research involving the use of human embryos or embryonic stem cells except for banked or isolated human embryonic stem cells in culture

**Information required from applicants on the ethical aspects of the proposed research project****A. Applicants are requested to fill in the following table**

<b>Does your proposed research raise sensitive ethical questions related to:</b>	<b>YES</b>	<b>NO</b>
• Human beings		
• Human biological samples		
• Personal data (whether identified by name or not)		
• Genetic information		
• Animals		

**B. Applicants are requested to confirm that the proposed research does not involve:**

- Research activity aimed at human cloning for reproductive purposes,
- Research activity intended to modify the genetic heritage of human beings which could make such changes heritable<sup>1</sup>;
- Research activity intended to create human embryos solely for the purpose of research or for the purpose of stem cell procurement, including by means of somatic cell nuclear transfer;
- Research involving the use of human embryos or embryonic stem cells with the exception of banked or isolated human embryonic stem cells in culture<sup>2</sup>.
- Further information on ethics requirements and rules are given at the science and ethics website at [http://europa.eu.int/comm/research/science-society/ethics/ethics\\_en.html](http://europa.eu.int/comm/research/science-society/ethics/ethics_en.html).

<sup>1</sup> Research relating to cancer treatment of the gonads can be financed.

<sup>2</sup> Applicants should note that the Council and the Commission have agreed that detailed implementing provisions concerning research activities involving the use of human embryos and human embryonic stem cells which may be funded under the 6<sup>th</sup> Framework Programme shall be established by 31 December 2003. The Commission has stated that, during that period and pending establishment of the detailed implementing provisions, it will not propose to fund such research, with the exception of the study of banked or isolated human embryonic stem cells in culture.

*Annex 4 - Integrating the gender dimension*

## Integrating the gender dimension in FP6 projects

The European policy of equal opportunities between women and men is enshrined in the Treaty on European Union. Articles 2 and 3 establish equality between women and men as a specific task of the Community, as well as a horizontal objective affecting all Community tasks. The Treaty seeks not only to eliminate inequalities, but also to promote equality. The Commission has adopted a gender mainstreaming strategy by which each policy area, including that of research, must contribute to promoting gender equality.

The Commission recognises a threefold relationship between women and research, and has articulated its action around the following :

- women's participation in research must be encouraged both as scientists/technologists and within the evaluation, consultation and implementation processes,
- research must address women's needs, as much as men's needs,
- research must be carried out to contribute to an enhanced understanding of gender issues.

Promoting women does not mean treating them in the same way as men. Men's characteristics, situations and needs are often taken as the norm, and – to have the same opportunities - women are expected to behave like them. Ensuring gender equality means giving equal consideration to the life patterns, needs and interests of both women and men. Gender mainstreaming thus includes also changing the working culture.

We need to go a step further by questioning systematically whether, and in what sense, sex and gender are relevant in the objectives and in the methodology of projects. Many science and research projects include humans as subjects. There is no such thing as a universally neutral person. Because sex and gender differences are fundamental organising features of life and society, recognising these differences has important implications in scientific knowledge.

- Gender differences are relevant in health research for combating diseases, and in the fundamental research on genomics and its applications for health.
- In information technologies, gender disparities exist at user level and in the labour market. By assuming that information technology is neutral, biases can enter into technological research and development that can have a negative impact on gender equality.
- Gender-specific needs could be relevant to the development of materials for use in the biomedical sector.
- Gender differences could exist in the impact on health of food products, such as those containing genetically modified organisms. Gender may also be relevant in the epidemiology of food-related diseases and allergies.
- Gender differences are relevant in the design and development of sustainable technologies and in sectors such as transport.
- There are differences in gender roles and responsibilities, as well as in the relationship to the resource base, which are relevant to sustainable development research (land management, agricultural and forest resources, water cycle).
- Developments in the knowledge-based society and in the new forms of relationships between citizens and institutions in Europe have some significant gender dimensions.

Indications of relevant gender issues and suggestions on how the gender dimension can be integrated are available in the gender impact studies that were carried out during the Fifth Framework Programme in the following fields :

- life sciences
- information society
- energy
- environment
- international co-operation
- SME and innovation
- Mobility and socio-economic research.

The reports can be requested at [rtd-sciencesociety@cec.eu.int](mailto:rtd-sciencesociety@cec.eu.int).